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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/964,242	09/26/2001	2877	848	L1063/20005	6	57	7

CONFIRMATION NO. 1829

03000

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.  
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## FILING RECEIPT



\*OC000000006963280\*

Date Mailed: 10/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Domestic Priority data as claimed by applicant

## Foreign Applications

UNITED KINGDOM 0120588.9 08/24/2001

If Required, Foreign Filing License Granted 10/24/2001

Projected Publication Date: 02/27/2003

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Light source for open-path gas monitoring

## Preliminary Class

RECEIVED  
OCT 26 2001  
CAESAR, RIVISE, BERNSTEIN  
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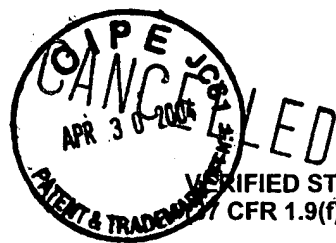
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VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
37 CFR 1.9(f) & 1.27 (c) - SMALL BUSINESS CONCERN

DOCKET NO. L1063/20005

APPLICANTS: Stuart F. Metcalfe and Derek D. Stuart

SERIAL OR PATENT NO.: \_\_\_\_\_

FILED OR ISSUED: \_\_\_\_\_

TITLE: LIGHT SOURCE FOR OPEN-PATH GAS MONITORING

I declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: Land Instruments International

ADDRESS OF SMALL BUSINESS CONCERN: 10 Friends Lane, Newtown, PA 18940

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office in that the number of employees of the concern, including those of its affiliates does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with the title as listed above
- ☐ the application identified above
- ☐ the patent identified above

If the rights held by the above identified small business concern are not exclusive, each individual concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and no rights to the invention are held by any person, other than the inventor who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
- ☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Jasmine Harfoot

TITLE OF PERSON IF OTHER THAN OWNER Managing Director

ADDRESS OF PERSON SIGNING Stubley Lane, Dronfield Sheffield, England S18 1DJ

SIGNATURE

*J. Harfoot*

DATE:

21 September 2001